



## Sullivan County Public Works Committee

December 14, 2017 AGENDA  
11:15 AM

Committee Members:

Joseph Perrello, Chair Mark McCarthy, Vice Chair Nadia Rajsz Catherine Owens Scott Samuelson

### **Call to Order**

### **Comments:**

### **Presentation:**

### **Reports:**

Public Works Monthly Report December 2017

County Manager's Office Updates

### **Discussion:**

Jail Project

### **Resolution:**

- 1. To authorize the County Manager to enter into an agreement with Hudson Transit Lines, Inc. for the provision of Public Transportation**
- 2. To adopt a USDOT Drug & Alcohol Testing Policy for SC Dept. of Transportaions**
- 3. Authorize a hangar lease at the Sullivan County International Airport**
- 4. To authorize award and execution of a cotntract with Jane Axamethy DBA The Bake House**
- 5. To authorize a lease agreement with a third party land owner for property with an existing Communication Tower wich provides emergency service within the County**

### **Public Comment**

### **Adjourn**



## **Sullivan County Public Works Committee**

**SULLIVAN COUNTY GOVERNMENT CENTER**

PO BOX 5012

AddressCity, AddressState, AddressPostal

### Public Works Monthly Report December 2017

#### **NOVEMBER 2017 MONTHLY REPORT**

##### **SHOP STAFF**

- Continued services & New York State Inspections
- Continued repairs on solid waste roll-off trucks and containers
- Made all necessary repairs to DPW and outside agency equipment
- Repaired and repainted body damage on vehicles
- Completed estimates on damaged vehicles
- Prepared new DPW and Sheriff vehicles for service
- Sandblasted and refinished County equipment and rims
- Completed installation of sander bodies
- Winterized striping equipment
- Installed AVL units in new County vehicles

##### **SIGN SHOP**

- Fabricated signs for Division of Public Works and Towns
- Repaired signs on county roads and bridges
- Began Striper 196 maintenance and repairs
- Continued Sign Note data entry for 2017
- Cleared brush obstructing County signs

##### **AIRPORT**

- Completed monthly Airport Rescue and Fire Fighting training (ARFF)
- Completed required monthly weather observations
- Reviewed and discussed with consultant potential projects
- Relocated Airport Superintendents Office from Government center to ARFF building at SCIA
- Coordinated with consultant for Transient Aircraft Apron Expansion and MITL Guidance sign replacement
- Coordinated with FAA for new runway lighting (scheduled 10/19) FAA canceled their Aircraft out of service - rescheduled for November 14<sup>th</sup> - cancelled by Baseline - rescheduling
- Invoiced all tenants for rents and fees

## **BRIDGES**

- Addressed NYSDOT bridge flags for Bridge 361 (NEV)
- Completed Bridge 45 (FAL) project administration work and submitted final reimbursement request
- Continued engineering work for the replacement of Bridge 47 (FAL) with an in-situ slip lined culvert
- Continued bridge painting project administration work for the construction and inspection service contracts
- Continued management of contract engineering services and coordination with Orange County with respect to the inter-municipal agreement for the Bridge 360 (MAM) Rehabilitation Project
- Completed the review of the plans prepared for the construction of Bridge 71 (MAM) and started the finalization of construction documents
- Continued the preparation of an agreement for Toasperm Dam engineering services
- Started negotiations for contract engineering services including scope of services and contract amounts for the replacement of Bridges 82 (FOR), 192 (NEV), 243 (MAM) and 269 (MAM)
- Continued preliminary design work for the major rehabilitation of Bridge 247 (ROC)
- Continued contract management for services to replace sidewalks and curbs at the Government Center
- Continued providing assistance for the review of applications for Overweight/Over-width Permits
- Continued coordination with NYSDOT for the possible renewal of an agreement for the joint maintenance of the Beaverkill Covered Bridge (Bridge 304 (ROC)) by NYSDOT, NYSDEC, Town of Rockland and Sullivan County
- Continued engineering work for the replacement of Bridge 471 (TUS) on County Road 26
- Completed inspection and assistance for the replacement of the Bridge 62 (LIB) downstream bridge railing and approach railing
- Completed the engineering work for the Bridge 75 (TUS) bridge rail replacement and started procurement of material
- Completed the annual inspection of bridges and the preparation of the inspection report
- Started the preparation of the Annual Safety Certification for the Toasperm Dam and a certification document to the NYSDEC Dam Safety Section
- Started updating the Toasperm Dam Emergency Action Plan
- Completed coordination with DPW Operations for Toasperm Dam site work needed to improve the area at the toe of the downstream slope and completed inspection work
- Completed inspection and assistance for the replacement of the Bridge 129 (BET) bridge railing and approach railing
- Continued coordination with DPW Operations for work needed at Bridge 192C and at the Sunset Lake Dam
- Provided tentative program revisions and planning for the possibility of 2018 use of Bond funding for the replacement of bridges
- Prepared a reimbursement request for bridge and highway work and submitted it to NYSDOT for the Consolidated Local Street and Highway Improvement Program (CHIPS)

## **BUILDINGS**

- Completed weekly foster care home inspections and reports for the Department of Family Services (DFS)
- Proceeded with Department of State (DOS) mandated 2017 Building Inspections Program with Annual/Triennial Building Inspection Summary Charts
- New Jail review of concrete reports, masonry reports, steel reports, compaction reports, welding reports, fireproofing reports and daily activity reports
- Completed mandatory continuing education credits for Code Enforcement
- New Jail weekly field inspections
- Prepared Government Center Personnel Department renovation plans
- Maplewood facility walk-through and field measure for office renovations
- Adult Care Center loading dock coordination and pictures of construction
- NYPA Phase 2 plan search and scans for GC Annex, Courthouse, Shared Clinic Facility and Adult Care Center
- Participated in NYPA Project construction meetings and coordination
- Completed mandatory Cooling Tower online registration forms with NYSDOH
- Continued with mandated Federal Communications Commission radio license renewal and modification forms for EMS, Sheriff, fire service, highway maintenance, microwave and local government
- Prepared the Human Service Complex mandated Lead results package and public education notice for bulletin board display. Also prepared and submitted Lead Certification Form to NYSDOH
- Prepared and submitted the SCIA and Human Service Complex mandated monthly water chlorination system Operation Report calculations and letters to NYSDOH
- Completed weekly water testing at the Sullivan County International Airport and Human Service Complex water chlorination system with documentation
- Provided technical support for County facility operations and maintenance
- Participated in weekly staff meetings
- Continued work with Sustainability about DEC Electric Vehicle charging grant
- Sketched and reviewed proposed renovation for ESTF
- Coordinated cooling tower cleaning and seasonal shutdown
- Conducted Sanitary Survey of Human Service Complex with NYSDOH
- Assisted operations with items of concern during DOH annual ACC inspection
- Coordinated additional required Lead and Copper water testing per NYSDOH
- Reviewed various documents for submittal
- Participated in Capital Budget meeting and project review
- Participated in various Jail Meetings and conference calls
- Participated in SCIA Wayfinding Project kickoff site visit and follow up conference calls

## **HIGHWAYS**

- Provided ROW and record mapping information to the public
- Continued to interface with NYSDHSES on the County Road 49 embankment project, from FEMA 4020, requesting a limited geo-technical assessment - resolution and agreement with GSI to perform a soil nail repair
- Assessed road conditions and road embankments following the tropical storm of October

29-30<sup>th</sup>

- Continued to advance the County Road 173 (THO) reconstruction project with NYSDOT and design consultant (Stantec) - additional right-of-way and temporary easements necessary with new ADA requirements - held coordination meeting with DOT and Stantec
- Began the closeout of the 2017 contract paving and striping of 25 miles of county road - prepared final estimate of quantities - approved last road invoice - extended completion date (County Roads 43, 44, 62, 63, 64, 65, 151, 152 & 179 paved and striped)
- Commenced the annual Road Surface Management System (RSMS) pavement assessment field survey of the entire 385 mile county road network
- Completed topographic field surveys of County Bridges 183 (MAM) and 247 (ROC) - prepared Cadd basemap for County Bridge 247
- Completed a field survey of the water tower parcel above the liberty complex for a proposed cell tower site and lease parcel - located possession markers and road planimetry
- Completed the review of the NYSDOT's annual local roads listings for the County and Town highway systems in order to reconcile the State's and the County's local highway mileages for certification as part of the Consolidated Local Street and Highway Improvement Program (CHIPS) - sent all certifications and backup data to NYSDOT on behalf of the towns along with the County inventory
- Compiled all necessary backup cost documentation and submitted the next quarterly CHiP's reimbursement request to NYSDOT for highway projects
- Reviewed and/or researched the following for safety issues, potential impacts to and conflicts with the County's right-of-way (ROW), drainage, infrastructure and maintenance: County Road 17 (LIB) - no passing zone; and County Road 58 (THO) - site plan review for drainage and sewer tie; field measured sight distances and assessed impacts related to access requests on the following County Roads; 54 (FAL) and 56 (FAL)
- Provided comments to county planning for G.M.L. 239 review on the following eight projects on or adjacent to a County Road (CR): CR's 74 (x2), 85, Main St. (Village) and Parksville Rd. (LIB); 178 (ROC); CR 45 and Holmes Rd. (THO)
- Issued multiple permits on various County Roads - two D (Dig) permits - two M (Misc./Access) permits - three O (Overweight) permits and no U (Utility) permits

### **SOLID WASTE & RECYCLING - MONTHLY REPORT**

<b>Month</b>	<b>2016 MSW/CD</b>	<b>2017 MSW/CD</b>
January	2,846	3,077
February	3,045	2,811
March	3,884	3,602
April	4,055	4,393
May	4,776	5,417
June	6,532	6,746

July	9,418	10,062
August	10,205	10,463
September	5,462	5,548
October	3,964	4,827
November (26)	3,760	3,157
December	3,063	
<b>TOTAL</b>	61,010	

(T) - Total Monticello Transfer Station

### **SOLID WASTE & RECYCLING**

- *Safety:* Solid Waste Department- days without a lost time accident: 515
- *Baler:* the fiber baler has been out of service since late July. The PO for the repair was issued October 27<sup>th</sup>. The lead time on the parts is 6-8 weeks. It is expected to be functional again in early 2018.
- *Engineering:* The pretreatment plant leachate storage tanks require replacement as soon as possible. The Environmental Monitoring program is due for revision. An Engineer has been selected for these projects. These projects began in November.
- *Customer Coaching:* The team has been meeting with local businesses to discuss recycling compliance and assistance offered by DSW&R. November: Sullivan Poultry, Catholic Charities, Fromaggio Cheese, SCCC, Bethel Woods, Woodbourne Correctional, Catskill Regional Medical Center, Tri Valley School, Blue Sky Mobil Park, Resnic Equipment, Villa Roma.
- *SC Health Department Tour:* Provided a tour of the Monticello facilities to six (6) representatives of the SC Health Department. Hoping to establish working relationship/dialog with the HD for recycling and sanitation issues at summer camps and other business they regulate.



**COMBINED: LEGISLATIVE MEMORANDUM,  
CERTIFICATE OF AVAILABILITY OF FUNDS  
AND RESOLUTION COVER MEMO**

**To: Public Works Committee**

**Fr: Jacqueline Baumgardner, Executive Secretary**

**Re: HUDSON TRANSIT LINES RESOLUTION**

**Date: December 14, 2017**

**Purpose of Resolution:**

To authorize the County Manager to enter into an agreement with Hudson Transit Lines, Inc. for the provision of public transportation.

**Is subject of Resolution mandated? No Explain:**

<Insert Explanation Here>

**Does Resolution require expenditure of funds? Yes**

If "Yes", provide the following information

**Amount to be authorized by Resolution: \$65,000.00**

**Are funds already budgeted? Yes**

**If "Yes" specify appropriation code(s): <A-5680-40-4021**

**If "No", specify proposed source of funds:**

**Estimated Cost Breakdown by Source**

**County: \$65, 000.00**

**Grant(s): \$<Insert Amount Here>**

**State: \$<Insert Amount Here>**

**Other: \$<Insert Amount Here>**

**Federal Government:\$<Insert**

**(Specify):**

**Here>**

**Specify Compliance with Procurement Procedures:**

**Quote**

**Person(s) responsible for monitoring contract (Title): Edward McAndrew Commissioner**

**RESOLUTION TO AUTHORIZE THE COUNTY MANAGER TO ENTER INTO AN AGREEMENT WITH HUDSON TRANSIT LINES, INC. FOR THE PROVISION OF PUBLIC TRANSPORTATION**

**WHEREAS**, pursuant to Resolution No. 585-07 adopted by the Sullivan County Legislature on December 20,2007 the County entered into an agreement with Hudson Transit Lines, Inc. for the provision of public transportation; and

**WHEREAS**, it is in the best interest of the County to continue having Hudson Transit Lines, Inc. continue to provide such services.

**NOW, THEREFORE, BE IT RESOLVED**, that the Sullivan County Legislature does hereby authorize the County Manager to enter into an agreement with Hudson Transit Lines, Inc. for the provision of public transportation for the year 2018 at a cost not to exceed \$65,000; and

**BE IT FURTHER RESOLVED**, that the form of said contract will be approved by the Sullivan County Department of Law.

**Moved by** \_\_\_\_\_,  
**Seconded by** \_\_\_\_\_,  
**and adopted on motion** \_\_\_\_\_, 2017.





**COMBINED: LEGISLATIVE MEMORANDUM,  
CERTIFICATE OF AVAILABILITY OF FUNDS  
AND RESOLUTION COVER MEMO**

**To: Public Works Committee**

**Fr: Jacqueline Baumgardner, Executive Secretary**

**Re: USDOT Drug & Alcohol Testing Policy for SC Dept. of Transportation**

**Date: December 14, 2017**

**Purpose of Resolution:**

Resolution to adopt a USDOT Drug & Alcohol Testing Policy for the Transportation Department.

**Is subject of Resolution mandated? Yes Explain:**

The policy is required to be in compliance with the USDOT.

**Does Resolution require expenditure of funds? No**

If "Yes", provide the following information

**Amount to be authorized by Resolution: \$**

**Are funds already budgeted? Yes/No**

**If "Yes" specify appropriation code(s):**

**If "No", specify proposed source of funds:**

**Estimated Cost Breakdown by Source**

**County: \$**

**Grant(s): \$**

**State: \$**

**Other: \$**

**Federal Government:\$**

**(Specify):**

**Specify Compliance with Procurement Procedures:**

N/A

**Person(s) responsible for monitoring contract (Title): Edward McAndrew Commissioner**

**INTRODUCED BY PUBLIC WORKS COMMITTEE TO ADOPT A USDOT DRUG AND ALCOHOL TESTING POLICY FOR THE SULLIVAN COUNTY DEPARTMENT OF TRANSPORTATION**

**WHEREAS**, in order to ensure compliance with the Drug-Free Workplace Act of 1988 and the Omnibus Transportation Employee Testing Act of 1991 the County of Sullivan wishes to adopt a USDOT Drug and Alcohol Testing Policy (“Policy”): and

**WHEREAS**, the Policy shall apply to the Sullivan County Transportation Department within the Division of Public Works; and

**WHEREAS**, the Policy shall be implemented in compliance with all federal and state statutes and regulations; and

**WHEREAS**, the Policy is attached hereto as Exhibit A and by this reference is made a part hereof.

**NOW, THEREFORE, BE IT RESOLVED**, that the Sullivan County Legislature adopts the attached Sullivan County Transportation USDOT Drug and Alcohol Testing Policy; and

**BE IT FURTHER RESOLVED**, that the appropriate County officials shall take the steps necessary to ensure that the Policy is implemented in compliance with federal and state statutes and regulations.

## USDOT DRUG AND ALCOHOL TESTING POLICY

### Sullivan County

Adopted as of December 21, 2017

#### A. PURPOSE

- 1) The Sullivan County provides public transit and paratransit services for the residents of Sullivan County. Part of our mission is to ensure that this service is delivered safely, efficiently, and effectively by establishing a drug and alcohol-free work environment, and to ensure that the workplace remains free from the effects of drugs and alcohol in order to promote the health and safety of employees and the general public. In keeping with this mission, Sullivan County declares that the unlawful manufacture, distribution, dispense, possession, or use of controlled substances or misuse of alcohol is prohibited for all employees.
- 2) Additionally, the purpose of this policy is to establish guidelines to maintain a drug and alcohol-free workplace in compliance with the Drug-Free Workplace Act of 1988, and the Omnibus Transportation Employee Testing Act of 1991. This policy is intended to comply with all applicable Federal regulations governing workplace anti-drug and alcohol programs in the transit industry. Specifically, the Federal Transit Administration (FTA) of the U.S. Department of Transportation has published 49 CFR Part 655, as amended, that mandates urine drug testing and breath alcohol testing for safety-sensitive positions, and prohibits performance of safety-sensitive functions when there is a positive test result for FTA; 49 CFR Part 382 for Federal Motor Carrier Safety Administration (FMCSA); and The U. S. Department of Transportation (USDOT) has also published 49 CFR Part 40, as amended, that sets standards for the collection and testing of urine and breath specimens.
- 3) Any provisions set forth in this policy that are included under the sole authority of Sullivan County and are not provided under the authority of the above named Federal regulations are underlined. Tests conducted under the sole authority of Sullivan County will be performed on non-USDOT forms and will be separate from USDOT testing in all respects.

#### B. APPLICABILITY

This Drug and Alcohol Testing Policy applies to all safety-sensitive employees (full- or part-time) when performing safety sensitive duties. See Attachment A for a list of employees and the authority under which they are included.

This policy applies to every person whose position requires the possession of a commercial driver's license (CDL); every employee performing a "safety-sensitive function" as defined below, and any person applying for such positions.

Under FMCSA (Part 382), you are a covered employee if you perform any of the following safety-sensitive functions:

- Driving a commercial motor vehicle which requires the driver to have a CDL
- Waiting to be dispatched to operate a commercial motor vehicle
- Inspecting, servicing, or conditioning any commercial motor vehicle
- Performing all other functions in or upon a commercial motor vehicle (except resting in a sleeper berth)
- Loading or unloading a commercial motor vehicle, supervising or assisting in the loading or unloading, attending a vehicle being loaded or unloading, remaining in readiness to operate the vehicle, or giving or receiving receipts for shipments being loaded or unloaded
- Repairing, obtaining assistance, or remaining in attendance upon a disabled vehicle

Under FTA (Part 655), you are a covered employee if you perform and of the following: (1) operation of public transit service including the operation of a revenue service vehicle (whether or not the vehicle is in revenue service), (2) maintenance of a revenue service vehicle or equipment used in revenue service, (3) security personnel who carry firearms, (4) dispatchers or persons controlling the movement of revenue service vehicles and (4) any transit employee who operates a vehicle that requires a Commercial Driver's License to operate. Maintenance functions include the repair, overhaul, and rebuild of engines, vehicles and/or equipment used in revenue service. A list of safety-sensitive positions who perform one or more of the above mentioned duties is provided in Attachment A. Supervisors are only safety sensitive if they perform one of the above functions. Volunteers are considered safety sensitive and subject to testing if they are required to hold a CDL, or receive remuneration for service in excess of actual expense.

### **C. DEFINITIONS**

*FTA Accident:* An occurrence associated with the operation of a vehicle even when not in revenue service, if as a result:

- a. An individual dies;
- b. An individual suffers a bodily injury and immediately receives medical treatment away from the scene of the accident; or,
- c. One or more vehicles incur disabling damage as the result of the occurrence and is transported away from the scene by a tow truck or other vehicle. For purposes of

this definition, *disabling damage* means damage which precludes departure of any vehicle from the scene of the occurrence in its usual manner in daylight after simple repairs. Disabling damage includes damage to vehicles that could have been operated but would have been further damaged if so operated, but does not include damage which can be remedied temporarily at the scene of the occurrence without special tools or parts, tire disablement without other damage even if no spare tire is available, or damage to headlights, taillights, turn signals, horn, or windshield wipers that makes them inoperative.

*Adulterated specimen:* A specimen that has been altered, as evidenced by test results showing either a substance that is not a normal constituent for that type of specimen or showing an abnormal concentration of an endogenous substance.

*Alcohol:* The intoxicating agent in beverage alcohol, ethyl alcohol, or other low molecular weight alcohols contained in any beverage, mixture, mouthwash, candy, food, preparation or medication.

*Alcohol Concentration:* Expressed in terms of grams of alcohol per 210 liters of breath as indicated by a breath test under 49 CFR Part 40.

*Aliquot:* A fractional part of a specimen used for testing, It is taken as a sample representing the whole specimen.

*Canceled Test:* A drug or alcohol test that has a problem identified that cannot be or has not been corrected, or which is cancelled. A canceled test is neither positive nor negative.

*Confirmatory Drug Test:* A second analytical procedure performed on a different aliquot of the original specimen to identify and quantify the presence of a specific drug or metabolite.

*Confirmatory Validity Test:* A second test performed on a different aliquot of the original urine specimen to further support a validity test result.

*Covered Employee Under FTA/FMCSA Authority:* An employee who performs a safety-sensitive function including an applicant or transferee who is being considered for hire into a safety-sensitive function (See Attachment A for a list of covered employees).

*Designated Employer Representative (DER):* An employee authorized by the employer to take immediate action to remove employees from safety-sensitive duties and to make required decisions in testing. The DER also receives test results and other communications for the employer, consistent with the requirements of 49 CFR Parts 40 and 655.

*Department of Transportation (DOT):* For the purposes of Drug and Alcohol regulatory oversight, DOT is the department of the federal government which includes the, Federal Transit Administration, Federal Railroad Administration, Federal Aviation Administration, Federal Motor Carriers' Safety Administration, Pipeline & Hazardous Materials Safety Administration, United States Coast Guard, and the Office of the Secretary of Transportation.

*Dilute specimen:* A urine specimen with creatinine and specific gravity values that are lower than expected for human urine.

*Disabling damage:* Damage which precludes departure of any vehicle from the scene of the occurrence in its usual manner in daylight after simple repairs. Disabling damage includes damage to vehicles that could have been operated but would have been further damaged if so operated, but does not include damage which can be remedied temporarily at the scene of the occurrence without special tools or parts, tire disablement without other damage even if no spare tire is available, or damage to headlights, taillights, turn signals, horn, or windshield wipers that makes them inoperative.

*Evidentiary Breath Testing Device (EBT):* A Device approved by the NHTSA for the evidential testing of breath at the 0.02 and the 0.04 alcohol concentrations. Approved devices are listed on the National Highway Traffic Safety Administration (NHTSA) conforming products list.

*Initial Drug Test: (Screening Drug Test)* The test used to differentiate a negative specimen from one that requires further testing for drugs or drug metabolites.

*Initial Specimen Validity Test:* The first test used to determine if a urine specimen is adulterated, diluted, substituted, or invalid

*Invalid Result:* The result reported by an HHS-certified laboratory in accordance with the criteria established by the HHS Mandatory Guidelines when a positive, negative, adulterated, or substituted result cannot be established for a specific drug or specimen validity test.

*Laboratory:* Any U.S. laboratory certified by HHS under the National Laboratory Certification program as meeting standards of Subpart C of the HHS Mandatory Guidelines for Federal Workplace Drug Testing Programs; or, in the case of foreign laboratories, a laboratory approved for participation by DOT under this part.

*Limit of Detection (LOD):* The lowest concentration at which a measurand can be identified, but (for quantitative assays) the concentration cannot be accurately calculated.

*Limit of Quantitation:* For quantitative assays, the lowest concentration at which the identity and concentration of the measurand can be accurately established.

*Medical Review Officer (MRO):* A licensed physician (medical doctor or doctor of osteopathy) responsible for receiving laboratory results generated by the drug testing program who has knowledge of substance abuse disorders, and has appropriate medical training to interpret and evaluate an individual's confirmed positive test result, together with his/her medical history, and any other relevant bio-medical information.

*Negative Dilute:* A drug test result which is negative for the five drug/drug metabolites but has a specific gravity value lower than expected for human urine.

*Negative result:* The result reported by an HHS-certified laboratory to an MRO when a specimen contains no drug or the concentration of the drug is less than the cutoff concentration for the drug or drug class and the specimen is a valid specimen. An alcohol concentration of less than 0.02 BAC is a negative test result.

*Non-negative test result:* A urine specimen that is reported as adulterated, substituted, invalid, or positive for drug/drug metabolites.

*Oxidizing Adulterant:* A substance that acts alone or in combination with other substances to oxidize drugs or drug metabolites to prevent the detection of the drug or metabolites, or affects the reagents in either the initial or confirmatory drug test.

*Performing (a safety-sensitive function):* A covered employee is considered to be performing a safety-sensitive function and includes any period in which he or she is actually performing, ready to perform, or immediately available to perform such functions.

*Positive result:* The result reported by an HHS- Certified laboratory when a specimen contains a drug or drug metabolite equal or greater to the cutoff concentrations.

*Prohibited drug:* Identified as marijuana, cocaine, opiates, amphetamines, or phencyclidine at levels above the minimum thresholds specified in 49 CFR Part 40, as amended.

*Reconfirmed:* The result reported for a split specimen when the second laboratory is able to corroborate the original result reported for the primary specimen.

*Rejected for Testing:* The result reported by an HHS- Certified laboratory when no tests are performed for specimen because of a fatal flaw or a correctable flaw that has not been corrected.

*Revenue Service Vehicles:* All transit vehicles that are used for passenger transportation service.

*Safety-sensitive functions:* Employee duties identified as:

- (1) The operation of a transit revenue service vehicle even when the vehicle is not in revenue service.
- (2) The operation of a non-revenue service vehicle by an employee when the operation of such a vehicle requires the driver to hold a Commercial Drivers License (CDL).
- (3) Maintaining a revenue service vehicle or equipment used in revenue service.
- (4) Controlling the movement of a revenue service vehicle and
- (5) Carrying a firearm for security purposes.

*Split Specimen Collection:* A collection in which the urine collected is divided into two separate bottles, the primary specimen (Bottle A) and the split specimen (Bottle B).

*Substance Abuse Professional (SAP):* A licensed physician (medical doctor or doctor of osteopathy) or licensed or certified psychologist, social worker, employee assistance professional, state-licensed or certified marriage and family therapist, or drug and alcohol counselor (certified by the National Association of Alcoholism and Drug Abuse Counselors Certification Commission or by the International Certification Reciprocity Consortium/Alcohol and other Drug Abuse (ICRC) or by the National Board for Certified Counselors, Inc. and Affiliates/Master Addictions Counselor (NBCC)) with knowledge of and clinical experience in the diagnosis and treatment of drug and alcohol related disorders.

*Substituted specimen:* A urine specimen with creatinine and specific gravity values that are so diminished or so divergent that they are not consistent with normal human urine.

*Test Refusal:* The following are considered a refusal to test if the employee:

- (1) Fail to appear for any test (except a pre-employment test) within a reasonable time, as determined by the employer.
- (2) Fail to remain at the testing site until the testing process is complete. An employee who leaves the testing site before the testing process commences for a pre-employment test has not refused to test.
- (3) Fail to attempt to provide a breath or urine specimen. An employee who does not provide a urine or breath specimen because he or she has left the testing site before the testing process commenced for a pre-employment test has not refused to test.
- (4) In the case of a directly-observed or monitored urine drug collection, fail to permit monitoring or observation of your provision of a specimen.
- (5) Fail to provide a sufficient quantity of urine or breath without a valid medical explanation.
- (6) Fail or decline to take a second test as directed by the collector or the employer for drug testing.



- (7) Fail to undergo a medical evaluation as required by the MRO or the employer's Designated Employer Representative (DER).
- (8) Fail to cooperate with any part of the testing process.
- (9) Fail to follow an observer's instructions to raise and lower clothing and turn around during a directly-observed test.
- (10) Possess or wear a prosthetic or other device used to tamper with the collection process.
- (11) Admit to the adulteration or substitution of a specimen to the collector or MRO.
- (12) Refuse to sign the certification at Step 2 of the Alcohol Testing Form (ATF).
- (13) Fail to remain readily available following an accident.
- (14) As a covered employee, if the MRO reports that you have a verified adulterated or substituted test result, you have refused to take a drug test.

*Vehicle:* A bus, electric bus, van, automobile, rail car, trolley car, trolley bus, or vessel. A public transit vehicle is a vehicle used for public transportation or for ancillary services.

*Verified negative test:* A drug test result reviewed by a medical review officer and determined to have no evidence of prohibited drug use above the minimum cutoff levels established by the Department of Health and Human Services (HHS).

*Verified positive test:* A drug test result reviewed by a medical review officer and determined to have evidence of prohibited drug use above the minimum cutoff levels specified in 49 CFR Part 40 as revised.

*Validity testing:* The evaluation of the specimen to determine if it is consistent with normal human urine. Specimen validity testing will be conducted on all urine specimens provided for testing under DOT authority. The purpose of validity testing is to determine whether certain adulterants or foreign substances were added to the urine, if the urine was diluted, or if the specimen was substituted.

#### **D. EDUCATION AND TRAINING**

- 1) Every covered employee will receive a copy of this policy and will have ready access to the corresponding federal regulations including 49 CFR Parts 655 and 40, as amended. In addition, all covered employees will undergo a minimum of 60 minutes of training on the signs and symptoms of drug use including the effects and consequences of drug use on personal health, safety, and the work environment. The training also includes manifestations and behavioral cues that may indicate prohibited drug use.
- 2) All supervisory personnel or company officials who are in a position to determine employee fitness for duty will receive 60 minutes of reasonable suspicion training on the physical, behavioral, and performance indicators of probable drug use and 60 minutes of

additional reasonable suspicion training on the physical, behavioral, speech, and performance indicators of probable alcohol misuse.

## **E. PROHIBITED SUBSTANCES**

1) Prohibited substances addressed by this policy include the following.

- a. Illegally Used Controlled Substance or Drugs Under the Drug-Free Workplace Act of 1988 any drug or any substance identified in Schedule I through V of Section 202 of the Controlled Substance Act (21 U.S.C. 812), and as further defined by 21 CFR 1300.11 through 1300.15 is prohibited at all times in the workplace unless a legal prescription has been written for the substance. This includes, but is not limited to: marijuana, amphetamines (including methamphetamine and ecstasy), opiates (including codeine, morphine, and heroin), phencyclidine (PCP), and cocaine, as well as any drug not approved for medical use by the U.S. Drug Enforcement Administration or the U.S. Food and Drug Administration. Illegal use includes use of any illegal drug, misuse of legally prescribed drugs, and use of illegally obtained prescription drugs. Also, the medical use of marijuana, or the use of hemp related products, which cause drug or drug metabolites to be present in the body above the minimum thresholds is a violation of this policy

Federal Transit Administration drug testing regulations (49 CFR Part 655) require that all employees covered under FTA/FMCSA authority be tested for marijuana, cocaine, amphetamines (including methamphetamine and ecstasy), opiates (including codeine, morphine, and heroin), and phencyclidine as described in Section H of this policy. Illegal use of these five drugs is prohibited at all times and thus, covered employees may be tested for these drugs anytime that they are on duty.

- b. Legal Drugs: The appropriate use of legally prescribed drugs and non-prescription medications is not prohibited. However, the use of any substance which carries a warning label that indicates that mental functioning, motor skills, or judgment may be adversely affected must be reported to a Sullivan County supervisor and the employee is required to provide a written release from his/her doctor or pharmacist indicating that the employee can perform his/her safety-sensitive functions.
- c. Alcohol: The use of beverages containing alcohol (including any mouthwash, medication, food, candy) or any other substances such that alcohol is present in the body while performing safety-sensitive job functions is prohibited.

## **F. PROHIBITED CONDUCT**

- 1) All covered employees are prohibited from reporting for duty or remaining on duty any time there is a quantifiable presence of a prohibited drug in the body above the minimum thresholds defined in 49 CFR Part 40, as amended.
- 2) Each covered employee is prohibited from consuming alcohol while performing safety-sensitive job functions or while on-call to perform safety-sensitive job functions. If an on-call employee has consumed alcohol, they must acknowledge the use of alcohol at the time that they are called to report for duty. The covered employee will subsequently be relieved of his/her on-call responsibilities and subject to discipline for not fulfilling his/her on-call responsibilities.
- 3) The Transit Department shall not permit any covered employee to perform or continue to perform safety-sensitive functions if it has actual knowledge that the employee is using alcohol
- 4) Each covered employee is prohibited from reporting to work or remaining on duty requiring the performance of safety-sensitive functions while having an alcohol concentration of 0.02 or greater regardless of when the alcohol was consumed.
- 5) No covered employee shall consume alcohol for eight (8) hours following involvement in an accident or until he/she submits to the post-accident drug/alcohol test, whichever occurs first.
- 6) No covered employee shall consume alcohol within four (4) hours prior to the performance of safety-sensitive job functions.
- 7) Sullivan County, under its own authority, also prohibits the consumption of alcohol at all times the employee is on duty, or anytime the employee is in uniform.
- 8) Consistent with the Drug-free Workplace Act of 1988, all Sullivan County employees are prohibited from engaging in the unlawful manufacture, distribution, dispensing, possession, or use of prohibited substances in the work place including transit system premises and transit vehicles.

#### **G. DRUG STATUTE CONVICTION**

Consistent with the Drug Free Workplace Act of 1998, all employees are required to notify the Sullivan County management of any criminal drug statute conviction for a violation occurring in the workplace within five days after such conviction. Failure to comply with this provision shall result in disciplinary action as defined in Section Q of this policy.

## **H. TESTING REQUIREMENTS**

- 1) Analytical urine drug testing and breath testing for alcohol will be conducted as required by 49 CFR Part 40 as amended. All employees covered under FTA/FMCSA authority shall be subject to testing prior to performing safety-sensitive duty, for reasonable suspicion, following an accident, and random as defined in Section K, L, M, and N of this policy, and return to duty/follow-up.
- 2) A drug test can be performed any time a covered employee is on duty. A reasonable suspicion or random alcohol test can only be performed just before, during, or after the performance of a safety-sensitive job function. Under Sullivan County authority, a non-DOT alcohol test can be performed any time a covered employee is on duty.
- 3) All covered employees will be subject to urine drug testing and breath alcohol testing as a condition of ongoing employment with Sullivan County. Any safety-sensitive employee who refuses to comply with a request for testing shall be removed from duty and subject to discipline as defined in Section Q of this policy.

## **I. DRUG TESTING PROCEDURES**

- 1) Testing shall be conducted in a manner to assure a high degree of accuracy and reliability and using techniques, equipment, and laboratory facilities which have been approved by the U.S. Department of Health and Human Service (HHS). All testing will be conducted consistent with the procedures set forth in 49 CFR Part 40, as amended. The procedures will be performed in a private, confidential manner and every effort will be made to protect the employee, the integrity of the drug testing procedure, and the validity of the test result.
- 2) The drugs that will be tested for include marijuana, cocaine, opiates (including codeine, morphine, and heroin), amphetamines (including methamphetamine and ecstasy), and phencyclidine. After the identity of the donor is checked using picture identification, a urine specimen will be collected using the split specimen collection method described in 49 CFR Part 40, as amended. Each specimen will be accompanied by a DOT Custody and Control Form and identified using a unique identification number that attributes the specimen to the correct individual. The specimen analysis will be conducted at a HHS certified laboratory. An initial drug screen and validity test will be conducted on the primary urine specimen. For those specimens that are not negative, a confirmatory Gas Chromatography/Mass Spectrometry (GC/MS) test will be performed. The test will be considered positive if the amounts of the drug(s) and/or its metabolites identified by the GC/MS test are above the minimum thresholds established in 49 CFR Part 40, as amended.

- 3) The test results from the HHS certified laboratory will be reported to a Medical Review Officer. A Medical Review Officer (MRO) is a licensed physician with detailed knowledge of substance abuse disorders and drug testing. The MRO will review the test results to ensure the scientific validity of the test and to determine whether there is a legitimate medical explanation for a confirmed positive, substitute, or adulterated test result. The MRO will attempt to contact the employee to notify the employee of the non-negative laboratory result, and provide the employee with an opportunity to explain the confirmed laboratory test result. The MRO will subsequently review the employee's medical history/medical records as appropriate to determine whether there is a legitimate medical explanation for a non-negative laboratory result. If no legitimate medical explanation is found, the test will be verified positive or refusal to test and reported to the Sullivan County Drug and Alcohol Program Manager (DAPM). If a legitimate explanation is found, the MRO will report the test result as negative to the DAPM.
- 4) If the test is invalid without a medical explanation, a retest will be conducted under direct observation. Employees do not have access to a test of their split specimen following an invalid result.
- 5) Any covered employee who questions the results of a required drug test under paragraphs L through P of this policy may request that the split sample be tested. The split sample test must be conducted at a second HHS-certified laboratory. The test must be conducted on the split sample that was provided by the employee at the same time as the primary sample. The method of collecting, storing, and testing the split sample will be consistent with the procedures set forth in 49 CFR Part 40, as amended. The employee's request for a split sample test must be made to the Medical Review Officer within 72 hours of notice of the original sample verified test result. Requests after 72 hours will only be accepted at the discretion of the MRO if the delay was due to documentable facts that were beyond the control of the employee. Sullivan County will ensure that the cost for the split specimen analysis is covered in order for a timely analysis of the sample, however Sullivan County will seek reimbursement for the split sample test from the employee.
- 6) If the analysis of the split specimen fails to confirm the presence of the drug(s) detected in the primary specimen, if the split specimen is not able to be analyzed, or if the results of the split specimen are not scientifically adequate, the MRO will declare the original test to be canceled. If the split specimen is not available to analyze the MRO will direct Sullivan County to retest the employee under direct observation.
- 7) The split specimen will be stored at the initial laboratory until the analysis of the primary specimen is completed. If the primary specimen is negative, the split will be discarded. If the primary specimen is positive, it will be retained in frozen storage for one year and the split specimen will also be retained for one year. If the primary is positive, the primary and the split will be retained for longer than one year for testing if so requested

by the employee through the Medical Review Officer, or by the employer, by the MRO, or by the relevant DOT agency.

8) Observed collections

- a. Consistent with 49 CFR Part 40, as amended, collection under direct observation (by a person of the same gender) with no advance notice will occur if:
  - i. The laboratory reports to the MRO that a specimen is invalid, and the MRO reports to Sullivan County that there was not an adequate medical explanation for the result;
  - ii. The MRO reports to Sullivan County that the original positive, adulterated, or substituted test result had to be cancelled because the test of the split specimen could not be performed;
  - iii. The laboratory reported to the MRO that the specimen was negative-dilute with a creatinine concentration greater than or equal to 2 mg/dL but less than or equal to 5 mg/dL, and the MRO reported the specimen as negative-dilute and that a second collection must take place under direct observation (see §40.197(b)(1)).
  - iv. The collector observes materials brought to the collection site or the employee's conduct clearly indicates an attempt to tamper with a specimen;
  - v. The temperature on the original specimen was out of range;
  - vi. Anytime the employee is directed to provide another specimen because the original specimen appeared to have been tampered with.
  - vii. All follow-up-tests; or
  - viii. All return-to-duty tests

**J. ALCOHOL TESTING PROCEDURES**

- 1) Tests for breath alcohol concentration will be conducted utilizing a National Highway Traffic Safety Administration (NHTSA)-approved Evidential Breath Testing device (EBT) operated by a trained Breath Alcohol Technician (BAT). Alcohol screening tests may be performed using a non-evidential testing device which is also approved by

NHTSA. If the initial test indicates an alcohol concentration of 0.02 or greater, a second test will be performed to confirm the results of the initial test. The confirmatory test must occur on an EBT. The confirmatory test will be conducted no sooner than fifteen minutes after the completion of the initial test. The confirmatory test will be performed using a NHTSA-approved EBT operated by a trained BAT. The EBT will identify each test by a unique sequential identification number. This number, time, and unit identifier will be provided on each EBT printout. The EBT printout, along with an approved alcohol testing form, will be used to document the test, the subsequent results, and to attribute the test to the correct employee. The test will be performed in a private, confidential manner as required by 49 CFR Part 40, as amended. The procedure will be followed as prescribed to protect the employee and to maintain the integrity of the alcohol testing procedures and validity of the test result.

- 2) A confirmed alcohol concentration of 0.04 or greater will be considered a positive alcohol test and in violation of this policy. The consequences of a positive alcohol test are described in Section Q. of this policy. Even though an employee who has a confirmed alcohol concentration of 0.02 to 0.039 is not considered positive, the employee shall still be removed from duty for at least eight hours or for the duration of the work day whichever is longer and will be subject to the consequences described in Section Q of this policy. An alcohol concentration of less than 0.02 will be considered a negative test.
- 3) Sullivan County affirms the need to protect individual dignity, privacy, and confidentiality throughout the testing process. If at any time the integrity of the testing procedures or the validity of the test results is compromised, the test will be canceled. Minor inconsistencies or procedural flaws that do not impact the test result will not result in a cancelled test.
- 4) The alcohol testing form (ATF) required by 49 CFR Part 40 as amended, shall be used for all FTA/FMCSA required testing. Failure of an employee to sign step 2 of the ATF will be considered a refusal to submit to testing.

#### **K. PRE-EMPLOYMENT TESTING**

- 1) All applicants for covered transit positions shall undergo urine drug testing prior to performance of a safety-sensitive function.
  - a. All offers of employment for covered positions shall be extended conditional upon the applicant passing a drug test. An applicant will not be allowed to perform safety-sensitive functions unless the applicant takes a drug test with verified negative results.

- b. An employee shall not be placed, transferred or promoted into a position covered under FTA/FMCSA authority or company authority until the employee takes a drug test with verified negative results.
- c. If an applicant fails a pre-employment drug test, the conditional offer of employment shall be rescinded and the applicant will be referred to a Substance Abuse Professional. Failure of a pre-employment drug test will disqualify an applicant for employment for a period of at least one year. Before being considered for future employment the applicant must provide the employer proof of having successfully completed a referral, evaluation and treatment plan as described in section 655.62 of subpart G. The cost for the assessment and any subsequent treatment will be the sole responsibility of the applicant.
- d. When an employee being placed, transferred, or promoted from a non-covered position to a position covered under FTA/FMCSA authority or company authority submits a drug test with a verified positive result, the employee shall be subject to disciplinary action in accordance with Section Q herein.
- e. If a pre-employment test is canceled, Sullivan County will require the applicant to take and pass another pre-employment drug test.
- f. In instances where a FTA/FMCSA covered employee does not perform a safety-sensitive function for a period of 90 consecutive days or more regardless of reason, and during that period is not in the random testing pool the employee will be required to take a pre-employment drug test under 49 CFR Part 655 and have negative test results prior to the conduct of safety-sensitive job functions.
- g. Following a negative dilute the employee will be required to undergo another test. Should this second test result in a negative dilute result, the test will be considered a negative and no additional testing will be required unless directed to do so by the MRO.
- h. Applicants are required (even if ultimately not hired) to provide *Sullivan County* with signed written releases requesting FTA/FMCSA drug and alcohol records from all previous, DOT-covered, employers that the applicant has worked for within the last two years. Failure to do so will result in the employment offer being rescinded. *Sullivan County* is required to ask all applicants (even if ultimately not hired) if they have tested positive or refused to test on a pre-employment test for a DOT covered employer within the last two years. If the applicant has tested positive or refused to test on a pre-employment test for a DOT covered employer, the applicant must provide Sullivan County proof of having successfully completed a referral, evaluation and treatment plan as described in section 655.62 of subpart G.



## 2) FMCSA Drug Testing Exceptions

A driver is not required to undergo a pre-employment test if:

- I. The driver has participated in a DOT testing program within the previous 30 days; and
- II. While participating in that program, either:
  - a. Was drug tested within the past six months (from the date of application with the employer), or
  - b. Participated in the random drug testing program for the previous 12 months (from the date of application with the employer); and
- III. The Sullivan County can ensure that no prior employer of the driver of whom Sullivan County has knowledge has records of a violation of this part or the controlled substances use rule of another DOT agency within the previous six months

## L. REASONABLE SUSPICION TESTING

- 1) All Sullivan County FTA/FMCSA covered employees will be subject to a reasonable suspicion drug and/or alcohol test when the employer has reasonable suspicion to believe that the covered employee has used a prohibited drug and/or engaged in alcohol misuse. Reasonable suspicion shall mean that there is objective evidence, based upon specific, contemporaneous, articulable observations of the employee's appearance, behavior, speech or body odor that are consistent with possible drug use and/or alcohol misuse. Reasonable suspicion referrals must be made by one or more supervisors who are trained to detect the signs and symptoms of drug and alcohol use, and who reasonably concludes that an employee may be adversely affected or impaired in his/her work performance due to possible prohibited substance abuse or alcohol misuse. A reasonable suspicion alcohol test can only be conducted just before, during, or just after the performance of a safety-sensitive job function. However, under Sullivan County' authority, a non-DOT reasonable suspicion alcohol test may be performed any time the covered employee is on duty. A reasonable suspicion drug test can be performed any time the covered employee is on duty.
- 2) Sullivan County shall be responsible for transporting the employee to the testing site. Supervisors should avoid placing themselves and/or others into a situation which might endanger the physical safety of those present. The employee shall be placed on administrative leave pending disciplinary action described in Section Q of this policy. An employee who refuses an instruction to submit to a drug/alcohol test shall not be permitted to finish his or her shift and shall immediately be placed on administrative leave pending disciplinary action as specified in Section Q of this policy.

- 3) A written record of the observations which led to a drug/alcohol test based on reasonable suspicion shall be prepared and signed by the supervisor making the observation. This written record shall be submitted to the Sullivan County
- 4) When there are no specific, contemporaneous, articulable objective facts that indicate current drug or alcohol use, but the employee (who is not already a participant in a treatment program) admits the abuse of alcohol or other substances to a supervisor in his/her chain of command, the employee shall be referred for assessment and treatment consistent with Section Q of this policy. Sullivan County shall place the employee on administrative leave in accordance with the provisions set forth under Section Q of this policy. Testing in this circumstance would be performed under the direct authority of the Sullivan County. **Since the employee self-referred to management, testing under this circumstance would not be considered a violation of this policy or a positive test result under Federal authority.** However, self-referral does not exempt the covered employee from testing under Federal authority as specified in Sections L through N of this policy or the associated consequences as specified in Section Q.

## **M. POST-ACCIDENT TESTING**

### **FTA Procedures:**

- 1) FATAL ACCIDENTS - A covered employee will be required to undergo urine and breath testing if they are involved in an accident with a transit vehicle, whether or not the vehicle is in revenue service at the time of the accident, that results in a fatality. This includes all surviving covered employees that are operating the vehicle at the time of the accident and any other whose performance could have contributed to the accident, as determined by the employer using the best information available at the time of the decision.
- 2) NON-FATAL ACCIDENTS - A post-accident test of the employee operating the public transportation vehicle will be conducted if an accident occurs and at least one of the following conditions is met:
  - a. The accident results in injuries requiring immediate medical treatment away from the scene, and the covered employee may have contributed to the accident.
  - b. One or more vehicles incurs disabling damage as a result of the occurrence and must be transported away from the scene, and the covered employee may have contributed to the accident

In addition, any other covered employee whose performance could have contributed to the accident, as determined by the employer using the best information available at the time of the decision, will be tested.

As soon as practicable following an accident, as defined in this policy, the transit supervisor investigating the accident will notify the transit employee operating the transit vehicle and all other covered employees whose performance could have contributed to the accident of the need for the test. The supervisor will make the determination using the best information available at the time of the decision.

### **FMCSA Procedures:**

Covered employees shall be subject to FMCSA post-accident drug and alcohol testing under the following circumstances:

FATAL ACCIDENTS - As soon as practicable following an occurrence involving a commercial motor vehicle operating on a public road in commerce, and involving the loss of a human life, drug and alcohol tests will be conducted on each surviving covered employee who was performing safety-sensitive functions with respect to the vehicle.

NON-FATAL ACCIDENTS - As soon as practicable following an occurrence involving a commercial motor vehicle operating on a public road in commerce, and not involving the loss of a human life, an alcohol test will be conducted on each driver who receives a citation within eight (8) hours of the occurrence under State or local law for a moving traffic violation arising from the accident, if:

1. The accident results in injuries requiring immediate medical treatment away from the scene; or
2. One or more motor vehicles incur disabling damage and must be transported away from the scene by a tow truck or other motor vehicle.

As soon as practicable following an occurrence involving a commercial motor vehicle operating on a public road in commerce, and not involving the loss of a human life, a drug test will be conducted on each driver who receives a citation within thirty-two (32) hours of the occurrence under State or local law for a moving traffic violation arising from the accident, if:

1. The accident results in injuries requiring immediate medical treatment away from the scene; or
2. One or more motor vehicles incur disabling damage and must be transported away from the scene by a tow truck or other motor vehicle.

### **General Accident Procedures:**

The appropriate transit supervisor shall ensure that an employee, required to be tested under this section, is tested as soon as practicable, but no longer than eight (8) hours of the accident for alcohol, and no longer than 32 hours for drugs. If an alcohol test is not performed within two hours of the accident, the Supervisor will document the reason(s) for the delay. If the alcohol test is not conducted within (8) eight hours, or the drug test within 32 hours, attempts to conduct the test must cease and the reasons for the failure to test documented.

Any covered employee involved in an accident must refrain from alcohol use for eight (8) hours following the accident, or until he/she undergoes a post-accident alcohol test.

An employee who is subject to post-accident testing who fails to remain readily available for such testing, including notifying a supervisor of his or her location if he or she leaves the scene of the accident prior to submission to such test, may be deemed to have refused to submit to testing.

Nothing in this section shall be construed to require the delay of necessary medical attention for the injured following an accident, or to prohibit an employee from leaving the scene of an accident for the period necessary to obtain assistance in responding to the accident, or to obtain necessary emergency medical care.

In the rare event that Sullivan County is unable to perform an FTA drug and alcohol test (i.e., employee is unconscious, employee is detained by law enforcement agency), Sullivan County may use drug and alcohol post-accident test results administered by local law enforcement officials in lieu of the FTA/FMCSA test. The local law enforcement officials must have independent authority for the test and the employer must obtain the results in conformance with local law.

### **N. RANDOM TESTING**

- 1) All covered employees will be subjected to random, unannounced testing. The selection of employees shall be made by a scientifically valid method of randomly generating an employee identifier from the appropriate pool of safety-sensitive employees. Employees who may be covered under company authority will be selected from a pool of non-DOT-covered employees.
- 2) The dates for administering unannounced testing of randomly selected employees shall be spread reasonably throughout the calendar year, day of the week and hours of the day.

- 3) The number of employees randomly selected for drug/alcohol testing during the calendar year shall be not less than the percentage rates set each year by the FTA/FMCSA administrator. The current year testing rates can be viewed online at <http://www.dot.gov/odapc/random-testing-rates>.
- 4) Each covered employee shall be in a pool from which the random selection is made. Each covered employee in the pool shall have an equal chance of selection each time the selections are made. Employees will remain in the pool and subject to selection, whether or not the employee has been previously tested. There is no discretion on the part of management in the selection.
- 5) Covered transit employees that fall under the Federal Transit Administration regulations will be included in one random pool maintained separately from the testing pool of non-safety-sensitive employees that are included solely under Sullivan County authority.
- 6) Random tests can be conducted at any time during an employee's shift for drug testing. Alcohol random tests can only be performed just before, during, or just after the performance of a safety sensitive duty. However, under Sullivan County' authority, a non-DOT random alcohol test may be performed any time the covered employee is on duty. Testing can occur during the beginning, middle, or end of an employee's shift.
- 7) Employees are required to proceed immediately to the collection site upon notification of their random selection.

#### **O. RETURN-TO-DUTY TESTING**

All covered employees who previously tested positive on a drug or alcohol test or refused a test, must test negative for drugs, alcohol (below 0.02 for alcohol), or both and be evaluated and released by the Substance Abuse Professional before returning to work. For an initial positive drug test a Return-to-Duty drug test is required and an alcohol test is allowed. For an initial positive alcohol test a Return-to-Duty alcohol test is required and a drug test is allowed. Following the initial assessment, the SAP will recommend a course of rehabilitation unique to the individual. The SAP will recommend the return-to-duty test only when the employee has successfully completed the treatment requirement and is known to be drug and alcohol-free and there are no undue concerns for public safety.

#### **P. FOLLOW-UP TESTING**

Covered employees that have returned to duty following a positive or refused test will be required to undergo frequent, unannounced drug and/or alcohol testing following their return-to-

duty test. The follow-up testing will be performed for a period of one to five years with a minimum of six tests to be performed the first year. The frequency and duration of the follow-up tests (beyond the minimums) will be determined by the SAP reflecting the SAP's assessment of the employee's unique situation and recovery progress. Follow-up testing should be frequent enough to deter and/or detect a relapse. Follow-up testing is separate and in addition to the random, post-accident, reasonable suspicion and return-to-duty testing.

In the instance of a self-referral or a management referral, the employee will be subject to non-USDOT follow-up tests and follow-up testing plans modeled using the process described in 49 CFR Part 40. However, all non-USDOT follow-up tests and all paperwork associated with an employee's return-to-work agreement that was not precipitated by a positive test result (or refusal to test) does not constitute a violation of the Federal regulations will be conducted under company authority and will be performed using non-DOT testing forms.

#### **Q. RESULT OF DRUG/ALCOHOL TEST**

- 1) Any covered employee that has a verified positive drug or alcohol test, or test refusal, will be removed from his/her safety-sensitive position, informed of educational and rehabilitation programs available, referred to a Substance Abuse Professional (SAP) for assessment, and will be terminated.
- 2) Following a negative dilute the employee will be required to undergo another test. Should this second test result in a negative dilute result, the test will be considered a negative and no additional testing will be required unless directed to do so by the MRO.
- 3) Refusal to submit to a drug/alcohol test shall be considered equivalent to a positive test result and a direct act of insubordination and shall result in termination and referral to an SAP. A test refusal includes the following circumstances:
  - a. Fail to appear for any test (except a pre-employment test) within a reasonable time, as determined by the employer.
  - b. Fail to remain at the testing site until the testing process is complete. An employee who leaves the testing site before the testing process commences for a pre-employment test has not refused to test.
  - c. Fail to attempt to provide a breath or urine specimen. An employee who does not provide a urine or breath specimen because he or she has left the testing site before the testing process commenced for a pre-employment test has not refused to test.
  - d. In the case of a directly-observed or monitored urine drug collection, fail to permit monitoring or observation of your provision of a specimen.
  - e. Fail to provide a sufficient quantity of urine or breath without a valid medical explanation.

- f. Fail or decline to take a second test as directed by the collector or the employer for drug testing.
- g. Fail to undergo a medical evaluation as required by the MRO or the employer's Designated Employer Representative (DER).
- h. Fail to cooperate with any part of the testing process.
- i. Fail to follow an observer's instructions to raise and lower clothing and turn around during a directly-observed test.
- j. Possess or wear a prosthetic or other device used to tamper with the collection process.
- k. Admit to the adulteration or substitution of a specimen to the collector or MRO.
- l. Refuse to sign the certification at Step 2 of the Alcohol Testing Form (ATF).
- m. Fail to remain readily available following an accident.

As a covered employee, if the MRO reports that you have a verified adulterated or substituted test result, you have refused to take a drug test.

- 4) For the first instance of a verified positive test from a sample submitted as the result of a random drug/alcohol test, disciplinary action against the employee shall include:
  - a. Mandatory referral to Substance Abuse Professional for assessment, formulation of a treatment plan, and execution of a return to duty agreement;
  - b. Failure to execute, or remain compliant with the return-to-duty agreement shall result in termination from [GRANTEE/TRANSIT SYSTEM NAME] employment.
    - i. Compliance with the return-to-duty agreement means that the employee has submitted to a drug/alcohol test immediately prior to returning to work; the result of that test is negative; in the judgment of the SAP the employee is cooperating with his/her SAP recommended treatment program; and, the employee has agreed to periodic unannounced follow-up testing as defined in Section P of this policy.
  - c. Refusal to submit to a periodic unannounced follow-up drug/alcohol test shall be considered a direct act of insubordination and shall result in termination.
  - d. A periodic unannounced follow-up drug/alcohol test which results in a verified positive shall result in termination from Sullivan County employment.
- 5) The first instance of a verified positive post-accident or reasonable suspicion drug and/or alcohol test shall result in termination.
- 6) The second instance of a verified positive drug or alcohol test result for any category of testing shall result in termination from Sullivan County employment.
- 7) An alcohol test result of  $\geq 0.02$  to  $\leq 0.039$  BAC shall result in the removal of the employee from duty for eight hours or the remainder of the work day whichever is longer. The

employee will not be allowed to return to safety-sensitive duty for his/her next shift until he/she submits to a NONDOT alcohol test with a result of less than 0.02 BAC.

- 8) In the instance of a self-referral or a management referral, disciplinary action against the employee shall include:
- a. Mandatory referral for an assessment by an employer approved counseling professional for assessment, formulation of a treatment plan, and execution of a return to work agreement;
  - b. Failure to execute, or remain compliant with the return-to-work agreement shall result in termination from Sullivan County employment.
    - i. Compliance with the return-to-work agreement means that the employee has submitted to a drug/alcohol test immediately prior to returning to work; the result of that test is negative; the employee is cooperating with his/her recommended treatment program; and, the employee has agreed to periodic unannounced follow-up testing as described in Section P of this policy; however, all follow-up testing performed as part of a return-to-work agreement required under section Q of this policy is under the sole authority of Sullivan County and will be performed using non-DOT testing forms.
  - c. Refusal to submit to a periodic unannounced follow-up drug/alcohol test shall be considered a direct act of insubordination and shall result in termination. **All tests conducted as part of the return to work agreement will be conducted under company authority and will be performed using non-DOT testing forms.**
  - d. **A self-referral or management referral to the employer's counseling professional that was not precipitated by a positive test result does not constitute a violation of the Federal regulations and will not be considered as a positive test result in relation to the progressive discipline defined in Section Q of this policy.**
  - e. Periodic unannounced follow-up drug/alcohol testing conducted as a result of a self-referral or management referral which results in a verified positive shall be considered a positive test result in relation to the progressive discipline defined in Section Q of this policy.
  - f. A Voluntary Referral does not shield an employee from disciplinary action or guarantee employment with Sullivan County.
  - g. A Voluntary Referral does not shield an employee from the requirement to comply with drug and alcohol testing.

Failure of an employee to report within five days a criminal drug statute conviction for a violation occurring in the workplace shall result in termination.

## **R. GRIEVANCE AND APPEAL**



The consequences specified by 49 CFR Part 40.149 (c) for a positive test or test refusal is not subject to arbitration.

#### **S. PROPER APPLICATION OF THE POLICY**

Sullivan County is dedicated to assuring fair and equitable application of this substance abuse policy. Therefore, supervisors/managers are required to use and apply all aspects of this policy in an unbiased and impartial manner. Any supervisor/manager who knowingly disregards the requirements of this policy, or who is found to deliberately misuse the policy in regard to subordinates, shall be subject to disciplinary action, up to and including termination.

#### **T. INFORMATION DISCLOSURE**

- 1) Drug/alcohol testing records shall be maintained by the Sullivan County Drug and Alcohol Program Manager and, except as provided below or by law, the results of any drug/alcohol test shall not be disclosed without express written consent of the tested employee.
- 2) The employee, upon written request, is entitled to obtain copies of any records pertaining to their use of prohibited drugs or misuse of alcohol including any drug or alcohol testing records. Covered employees have the right to gain access to any pertinent records such as equipment calibration records, and records of laboratory certifications. Employees may not have access to SAP follow-up testing plans.
- 3) Records of a verified positive drug/alcohol test result shall be released to the Drug and Alcohol Program Manager, and other transit system management personnel on a need to know basis.
- 4) Records will be released to a subsequent employer only upon receipt of a written request from the employee.
- 5) Records of an employee's drug/alcohol tests shall be released to the adjudicator in a grievance, lawsuit, or other proceeding initiated by or on behalf of the tested individual arising from the results of the drug/alcohol test. The records will be released to the decision maker in the proceeding.
- 6) Records will be released to the National Transportation Safety Board during an accident investigation.

- 7) Information will be released in a criminal or civil action resulting from an employee's performance of safety-sensitive duties, in which a court of competent jurisdiction determines that the drug or alcohol test information is relevant to the case and issues an order to the employer to release the information. The employer will release the information to the decision maker in the proceeding with a binding stipulation that it will only be released to parties of the proceeding.
- 8) Records will be released to the DOT or any DOT agency with regulatory authority over the employer or any of its employees.
- 9) Records will be released if requested by a Federal, state or local safety agency with regulatory authority over Sullivan County or the employee.
- 10) If a party seeks a court order to release a specimen or part of a specimen contrary to any provision of Part 40 as amended, necessary legal steps to contest the issuance of the order will be taken
- 11) In cases of a contractor or sub-recipient of a state department of transportation, records will be released when requested by such agencies that must certify compliance with the regulation to the FTA.

This Policy was adopted by the *[LOCAL GOVERNING BOARD]* on *[MONTH DD, YEAR]*.

*[APPLICABLE SIGNATURES]*

## **Attachment A**

**Bus/Van driver-** Operates small buses and van type vehicles.

Drivers are responsible for the safety and conduct of passengers.

Checks the operating condition of the vehicle daily(pre-trip).

Reports any defects.

May assist disabled or elderly passengers entering or departing the vehicle and carrying heavy packages as needed.

May collect fares.

May deliver supplies and meals to various sites within the County and to the homebound.

Keeps the interior and exterior clean.

Maintains records of mileage, routes, times and incidents.

Fuels vehicles

**Testing Authority-**

**49 CFR Part 382 FMCSA**

**49CFR Part 655 FTA**

**49 CFR Part 40 USDOT**

**Training Specialist (dispatcher)-** Responsible for scheduling vehicle assignments and efficiently maintaining a system of routing in a transportation system, including the dispatch of drivers and vehicles by means of cellular phone or landline.

Record keeping.

Prepares time and route schedules and insures timely departures and arrivals.

Utilize a computerized routing system.

Operates a personal computer using standard database and spreadsheet applications.

Conducts review and studies of routes to determine route changes.

Determines routes to be followed by drivers.

Assist in preparation of transportation reports, records and maps of routes.

Arranges for call in of substitute drivers and coordinates schedules of drivers.

Receives complaints.

Performs light duty bookkeeping.

May assist in scheduling the training of new drivers.

May be required to drive a motor vehicle for the transport of passengers, as needed.

**Testing Authority-**

**49 CFR Part 382 FMCSA**

**49 CFR Part 655 FTA**

**49 CFR Part 40 USDOT**

**Attachment B Contacts**

Any questions regarding this policy or any other aspect of the substance abuse policy should be directed to the following individual(s).

**Sullivan County Drug and Alcohol Program Manager**

Name Ruthann Hayden

Title Director of Transportation:

Address:100 North St. Monticello N.Y.12701

Telephone Number:845-807-0182

**Medical Review Officer**

Name: Partners In Safety

Title:Dr. Russell Kamer

Address 800 Route 17M Middletown N.Y. 10940:

Telephone Number: 845-341-0515

**Substance Abuse Professional**

Name: Laura Brovich, LMSW

Title:Director of Special Initiatives

Address: Corporate Services EAP Division of Catholic Charities Community Services of Orange and Sullivan 305 North St. Middletown N.Y. 10940

Telephone Number: (845) 344-5563 ext. 323

**HHS Certified Laboratory Primary Specimen**

Name: Lab Corp. of America

Address:69 First Avenue Raritan N.J. 08869

Telephone Number: 1-800-223-0631



**COMBINED: LEGISLATIVE MEMORANDUM,  
CERTIFICATE OF AVAILABILITY OF FUNDS  
AND RESOLUTION COVER MEMO**

**To: Public Works Committee**

**Fr: Jacqueline Baumgardner, Executive Secretary**

**Re: Authorize a hangar lease**

**Date: December 14, 2017**

**Purpose of Resolution:**

**AUTHORIZE A HANGAR LEASE AT THE SULLIVAN COUNTY INTERNATIONAL AIRPORT**

**Is subject of Resolution mandated? No Explain:**

**Does Resolution require expenditure of funds? No**

**If "Yes", provide the following information**

**Amount to be authorized by Resolution: \$**

**Are funds already budgeted? Yes/No**

**If "Yes" specify appropriation code(s):**

**If "No", specify proposed source of funds:**

**Estimated Cost Breakdown by Source**

**County: \$**

**Grant(s): \$**

**State: \$**

**Other: \$**

**Federal Government:\$**

**(Specify):**

**Specify Compliance with Procurement Procedures:**

N/A

**Person(s) responsible for monitoring contract (Title): Edward McAndrew Commissioner**

**INTRODUCED BY PUBLIC WORKS COMMITTEE TO AUTHORIZE A HANGAR LEASE AT THE SULLIVAN COUNTY INTERNATIONAL AIRPORT**

**WHEREAS**, the County of Sullivan (“County”) owns various hangars at the Sullivan County International Airport (“SCIA”); and

**WHEREAS**, the County has been approached by Aircraft owners seeking to hangar transient aircraft for short terms; and

**WHEREAS**, pursuant to Resolution No. 372-04 a range for monthly rentals of the hangars has been set by the Legislature, to be used by the Commissioner of Public Works; and

**WHEREAS**, it is in the County’s best interest to authorize the rental of hangars on a short term basis in accordance with the monthly rental rate.

**NOW, THEREFORE, BE IT RESOLVED**, that the Commissioner of Public Works is authorized to allow short term rentals of hangars at the SCIA under the terms outlined above, said rental agreements to be approved as to form by the County Attorney’s Office.



**COMBINED: LEGISLATIVE MEMORANDUM,  
CERTIFICATE OF AVAILABILITY OF FUNDS  
AND RESOLUTION COVER MEMO**

**To: Public Works Committee**

**Fr: Jacqueline Baumgardner, Executive Secretary**

**Re: TO AUTHORIZE AWARD AND EXECUTION OF A CONTRACT WITH JANE  
AXAMETHY DBA THE BAKE HOUSE**

**Date: December 14, 2017**

**Purpose of Resolution:**

**Authorize Award And Execution Of A Contract With Jane Axamethy Dba The Bake  
House At The Sullivan County International Airport Cafe**

**Is subject of Resolution mandated? No Explain:**

**Does Resolution require expenditure of funds? No**

**If "Yes", provide the following information**

**Amount to be authorized by Resolution: \$**

**Are funds already budgeted? Yes/No**

**If "Yes" specify appropriation code(s): <Insert Code(s) Here>**

**If "No", specify proposed source of funds:**

**Estimated Cost Breakdown by Source**

**County: \$<Insert Amount Here>**

**Grant(s): \$<Insert Amount Here>**

**State: \$<Insert Amount Here>**

**Other: \$<Insert Amount Here>**

**Federal Government:\$<Insert**

**(Specify):**

**Here>**

**Specify Compliance with Procurement Procedures:  
Request for Proposal**

**Bid/RFP/Quote#  
R-17-30**

**Person(s) responsible for monitoring contract (Title): Edward McAndrew Commissioner**



**INTRODUCED BY THE PUBLIC WORKS COMMITTEE TO AUTHORIZE AWARD AND EXECUTION OF A CONTRACT WITH JANE AXAMETHY DBA THE BAKE HOUSE AT THE SULLIVAN COUNTY INTERNATIONAL AIRPORT CAFE**

**WHEREAS**, proposals were received for the Operation of the Café at the Sullivan County International Airport, White Lake, NY; and

**WHEREAS**, Jane Axamethy dba The Bake House, 10 Horse Shoe Lake Road, Kauneonga Lake, NY 12749, will provide said services from January 27, 2017 through August 26, 2019, with an option to extend on a yearly basis, for three (3) additional years, under the same terms and conditions; and

**WHEREAS**, the Sullivan County Division of Public Works has approved said proposal and recommends that a contract be executed.

**NOW, THEREFORE, BE IT RESOLVED**, that the County Manager be and hereby is authorized to execute a contract, with, Jane Axamethy dba The Bake House, at a contract price of \$200.00/month to be paid to the County and in accordance with RFP #R-17-30, said contract to be in such form as the County Attorney shall approve.



**COMBINED: LEGISLATIVE MEMORANDUM,  
CERTIFICATE OF AVAILABILITY OF FUNDS  
AND RESOLUTION COVER MEMO**

**To: Public Works Committee**

**Fr: Jacqueline Baumgardner, Executive Secretary**

**Re: LEASE AGREEMENT WITH A THIRD PARTY LAND OWNER FOR  
PROPERTY WITH AN EXISTING COMMUNICATION TOWER WHIC**

**Date: December 14, 2017**

**Purpose of Resolution:**

**TO AUTHORIZE THE TERMS OF LEASE AGREEMENT WITH A THIRD PARTY  
LAND OWNER FOR PROPERTY WITH AN EXISTING COMMUNICATION TOWER  
WHICH PROVIDES EMERGENCY SERVICE WITHIN THE COUNTY**

**Is subject of Resolution mandated? No Explain:**

**Does Resolution require expenditure of funds? Yes**

**If "Yes", provide the following information**

**Amount to be authorized by Resolution: \$850.00**

**Are funds already budgeted? Yes**

**If "Yes" specify appropriation code(s): A-1620-20-47-4701**

**If "No", specify proposed source of funds:**

**Estimated Cost Breakdown by Source**

**County: \$850.00**

**Grant(s): \$<Insert Amount Here>**

**State: \$<Insert Amount Here>**

**Other: \$<Insert Amount Here>**

**Federal Government:\$<Insert**

**(Specify):**

**Here>**

**Specify Compliance with Procurement Procedures:**

**N/A**

**Person(s) responsible for monitoring contract (Title): Edward McAndrew Commissioner**

**INTRODUCED BY THE PUBLIC WORKS COMMITTEE TO AUTHORIZE THE TERMS OF LEASE AGREEMENT WITH A THIRD PARTY LAND OWNER FOR PROPERTY WITH AN EXISTING COMMUNICATION TOWER WHICH PROVIDES EMERGENCY SERVICE WITHIN THE COUNTY**

**WHEREAS**, Resolution No. 157-12 authorizes the negotiation of the renewal of agreements with third party land owners for the use of parcels of property for communication towers; and

**WHEREAS**, in order to provide continued communication service for the County, the Shandele tower lease needs to be renewed; and

**WHEREAS**, the lease is for a portion of a parcel of land, situate in the Town of Callicoon, identified on the Real Property Tax Map Section 4, Block 1 and Lot 32.44, which the record owner is Jose and Kathy Rochet.

**WHEREAS**, it is in the best interest of the County to renew the existing lease agreement on the same terms as the previous agreement, to ensure continued communication capabilities.

**NOW, THEREFORE, BE IT RESOLVED**, that the Chair of the County Legislature shall be authorized to execute a renewal lease with the property owner, for the sum of \$850 per year in such a form as the County Attorney shall provide.